

The Arctic Vortex in March 2011: A Dynamical Perspective

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In late winter in the Arctic stratosphere, ozone loss is closely tied to temperature: Ozone-depleting substances (e.g., CFCs) are activated on polar stratospheric clouds, which form only at very low temperatures. Variability in polar lower stratospheric temperature is highly correlated with the year-to-year variability in large-scale wave driving from the troposphere.

Record ozone loss was observed in March 2011. This paper documents the dynamical conditions associated with this event: Weak wave driving in February preceded cold anomalies in the polar lower stratosphere in March and a relatively late winter-to-spring transition in April. The 2011 conditions were unusual with respect to the 1979–2011 satellite era, but not unprecedented. Similarly severe ozone loss, low temperatures and weak wave driving were observed in March 1997.

In March 2011, El Niño/Southern Oscillation was in its cold phase (i.e., La Niña) while the quasi-biennial oscillation (QBO), an alternating east–west wind pattern in the equatorial lower stratosphere, was in its westerly phase. Though both of these conditions are generally associated with a colder lower stratosphere in mid–winter, the respective cold anomalies do not persist through March. Therefore, the La Niña and QBO–westerly conditions cannot explain the observed cold anomalies in March 2011. In contrast, positive sea surface temperature anomalies in the North Pacific may have contributed to the unusually weak tropospheric wave driving and cold Arctic stratosphere in late winter 1997 and 2011.

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2

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11

11 **Abstract**

12 Despite the record ozone loss observed in March 2011, dynamical conditions in the Arctic
13 stratosphere were unusual but not unprecedented. Weak planetary wave driving in February
14 preceded cold anomalies in the polar lower stratosphere in March and a relatively late breakup of
15 the Arctic vortex in April. La Niña conditions and the westerly phase of the quasi-biennial
16 oscillation (QBO) were observed in March 2011. Though these conditions are generally
17 associated with a stronger vortex in mid-winter, the respective cold anomalies do not persist
18 through March. Therefore, the La Niña and QBO-westerly conditions cannot explain the
19 observed cold anomalies in March 2011. In contrast, positive sea surface temperature anomalies
20 in the North Pacific may have contributed to the unusually weak tropospheric wave driving and
21 strong Arctic vortex in late winter 2011.

22

22 **1 Introduction**

23 In the Arctic stratosphere, chemical ozone loss takes place each year in the late winter (WMO,
24 2011). Arctic ozone loss represents the interaction between chemistry and climate:
25 heterogeneous ozone depletion on polar stratospheric clouds requires the presence of halogens,
26 sunlight and low temperatures. Rex et al. (2004 and 2006) calculated that the severity of large
27 ozone loss events has been increasing over the last few decades, and speculated that increased
28 radiative cooling by greenhouse gases plays a role.

29

30 Severe ozone loss was observed in the Arctic stratosphere in 2011. On March 14th, the Alfred
31 Wegener Institute (AWI) in Germany reported that “unusually low temperatures in the Arctic
32 ozone layer have recently initiated massive ozone depletion”
[\(\[http://www.awi.de/en/news/press_releases\]\(http://www.awi.de/en/news/press_releases\)\)](http://www.awi.de/en/news/press_releases). Figure 1a shows that March 2011 monthly mean
33 total ozone value was the lowest of the satellite era (total ozone dataset updated from Stolarski
34 and Frith, 2006). On April 8th, Science Daily reported “unprecedented” Arctic ozone depletion,
35 caused by unusual and persistent cold conditions in the Arctic vortex
[\(<http://www.sciencedaily.com/releases/2011/04/110406085634.htm>\)](http://www.sciencedaily.com/releases/2011/04/110406085634.htm). Researchers at AWI noted
36 that the anomalous ozone loss and low temperatures in March 2011 were consistent with the
37 estimated pattern of “cold winters getting colder” (Rex et al., 2004 and 2006).

38

39 Two sources of interannual variability in the Arctic lower stratosphere in winter are El
40 Niño/Southern Oscillation (ENSO) and the phase of the quasi-biennial oscillation (QBO).
41 Holton and Tan (1980) and Lu et al. (2008) showed that the phase of the QBO modulates the
42 region in which planetary waves can propagate in the stratosphere, thus affecting the strength of
43 the Arctic vortex in mid-winter. The vortex is strongest during the westerly phase of the QBO.
44 Similarly, planetary wave driving is stronger during El Niño (ENSO warm phase) events than
45 during La Niña (ENSO cold phase) events (e.g., Garfinkel and Hartmann, 2008).

46

47 The goals of this paper are to document the dynamical conditions in the Arctic stratosphere in
48 March 2011 and attribute these conditions to known sources of dynamical variability. Section 2
49 will describe the datasets and diagnostics used to perform this analysis. In Section 3, March
50 2011 will be examined in the context of the satellite era. The relationship of March conditions in

53 the Arctic stratosphere to ENSO and the phase of the QBO will be considered. In addition, the
54 possible role of North Pacific sea surface temperature variability in the anomalous dynamical
55 conditions in the Arctic vortex in March 2011 will be examined. Section 4 will provide a brief
56 summary and discussion.

57

58 **2 Data and diagnostics**

59 Sea surface temperature (SST) and atmospheric diagnostics are used to understand conditions in
60 the Arctic stratosphere in March 2011. The present analysis spans the satellite era (1979–2011)
61 and focuses on the Northern Hemisphere mid- to late winter (January through March). Zonal
62 winds, temperature and eddy heat flux fields are derived from the National Centers for
63 Environmental Prediction (NCEP)–U.S. Department of Energy (DOE) reanalysis (NCEP–2)
64 (Kanamitsu et al., 2002). The NCEP–2 reanalysis has $2.5^\circ \times 2.5^\circ$ horizontal resolution and
65 vertical coverage up to 10 hPa.

66

67 The phase of the quasi-biennial oscillation (QBO) is characterized by zonal winds in the
68 equatorial region at 50 hPa. Monthly mean values of the 50-hPa QBO index
69 (<http://www.cpc.ncep.noaa.gov/data/indices/qbo.u50.index>) are used in this study.

70

71 The springtime breakup of the Arctic vortex is calculated for each year. On the 450 K isentropic
72 surface (i.e., in the lower stratosphere), the breakup date is defined as the date when the five-day
73 running mean of zonal winds at the vortex edge falls below approximately 15.2 m s^{-1} , following
74 the criteria of Nash et al. (1996). The present analysis considers breakup dates based on the
75 NCEP–1 (Kalnay et al., 1996), NCEP–2 and NOAA Climate Prediction Center (CPC) (Gelman
76 et al., 1986; Nagatani et al., 1988; Finger et al., 1993) meteorological reanalyses.

77

78 Monthly mean SST fields are taken from the Hadley Centre Global Sea Ice and Sea Surface
79 Temperature (HadISST1) dataset (Rayner et al., 2003). Sea surface temperature anomalies in the
80 eastern equatorial Pacific are characterized by the Niño 3.4 index (see
81 <http://www.cpc.noaa.gov/data/indices>). Trenberth (1997) defines a conventional El Niño event
82 as a sustained period (usually six months or more) when the Niño 3.4 index exceeds 0.4, while a
83 La Niña event is defined as a sustained period when the Niño 3.4 index is less than –0.4.

84

85 **3 Results**

86 **3.1 March 2011 in a historical context**

87 In March 2011, the Arctic vortex was colder, stronger and more persistent than usual. Figure 1
88 shows histograms of the polar cap temperature, breakup date of the Arctic vortex, ENSO index,
89 QBO index and North Pacific SST index in the Arctic late winter 2011 with respect to the 1979–
90 2011 period. A histogram of March mean temperatures for the Arctic polar cap at 50 hPa is
91 shown in Figure 1b. The March 2011 temperature of 208.5 K (indicated by the red outline) is
92 more than two standard deviations lower than the climatological mean value (216.8 K) and is the
93 second–lowest value in the 1979–2011 period. The lowest value (206.1 K, indicated by the blue
94 outline) occurred in 1997.

95

96 The breakup of the Arctic vortex occurs in late winter. A histogram of breakup dates at 450 K is
97 shown in Figure 1c. The breakup date in 2011 was 19th April in the NCEP–2 reanalysis, later
98 than the mean date of 20th March in the NCEP reanalyses and 10th April in the CPC reanalysis.
99 The breakup date in 2011 was, depending on the zonal wind dataset, either the third or fourth
100 latest of the satellite era. The late breakup of the Arctic vortex is consistent with the low
101 temperatures and total ozone observed in March 2011 (see Figures 1a and 1b).

102

103 Unusually cold conditions in the Arctic stratosphere in March 2011 correspond with unusually
104 weak planetary wave driving in February 2011. Newman et al. (2001) found that polar lower
105 stratospheric temperature is correlated with mid–latitude eddy heat flux at 100 hPa, with a 1–2
106 month lag; this finding suggests that weaker than usual eddy heat flux in February should
107 correspond with a colder than usual Arctic lower stratosphere in March. Figure 2 shows that
108 February eddy heat flux and March polar cap temperature at 50 hPa are indeed well correlated,
109 and highlights the unusually low values observed in 2011.

110

111 March temperature anomalies in 2011 and 1997 are shown in Figures 3a and 3b. In both 1997
112 and 2011, the Arctic stratosphere cooled strongly while the mid–latitudes and Arctic troposphere
113 warmed weakly. Consistent with the temperature differences, zonal winds were relatively
114 stronger at high latitudes; peak wind differences exceeded 20 m s^{−1} at 10 hPa at high latitudes

115 (not shown). The magnitude of the stratospheric cooling was larger in 1997 than in 2011.
116 February eddy heat flux was weaker in 1997 than in 2011 as well (see Figure 2).

117

118 **3.2 Influence of ENSO and the QBO on the Arctic stratosphere in March**

119 La Niña and QBO–westerly conditions persisted through March 2011. The Niño 3.4 index was
120 strongly negative in January through March 2011, indicating La Niña conditions (Figure 1d). In
121 March 2011, equatorial zonal winds at 50 hPa were approximately 6 m s^{-1} (Figure 1e), indicating
122 the westerly phase of the QBO.

123

124 This section compares the temperature anomalies observed in March 2011 with those observed
125 during typical La Niña conditions and during the westerly phase of the QBO. The March
126 temperature response to La Niña events is estimated by comparing years when the Niño 3.4
127 index is equal to or less than -1 (as in 2011) with years when the Niño 3.4 index is between -0.5
128 and 0.5 (i.e., ENSO neutral). Figure 3c shows that, in the Arctic stratosphere, the typical March
129 temperature response to a La Niña event is a weak warming. The La Niña response is
130 inconsistent with the observed temperature response in both 1997 and 2011.

131

132 The QBO was in its westerly phase during the 2010–2011 winter season (Figure 1e). The March
133 temperature response to the phase of the QBO is estimated by comparing composites of QBO–
134 westerly years and QBO–easterly years. The typical March temperature response is a relative
135 warming of the Arctic stratosphere that increases with altitude (Figure 3d). As for the La Niña
136 response, the temperature response to QBO–westerly conditions is inconsistent with the observed
137 temperature response in both 1997 and 2011.

138

139 In summary, the patterns and magnitudes of the March 2011 temperatures differences from
140 climatology are similar to those seen in March 1997, but different from the Arctic response to
141 both La Niña events and to the phase of the QBO. March zonal wind and February eddy heat
142 flux differences are consistent with these conclusions. That is, the weak eddy heat flux in
143 February and low temperatures in March 2011 are not related to either ENSO or the QBO.

144

145 **3.3 Influence of North Pacific SSTs on the Arctic stratosphere in March**

146 This section considers the influence of extra-tropical SSTs on the Arctic stratosphere in March.
147 March lower stratospheric temperature and February planetary wave driving should be most
148 influenced by SST variability in the mid- to late winter. As noted in Section 3.2,
149 January/February SSTs in the tropical Pacific and March polar cap temperatures are not
150 correlated. However, SSTs in the North Pacific, poleward of 40°N and close to the dateline, are
151 strongly negatively correlated with March polar cap temperatures. This region corresponds with
152 the dominant mode of SST variability in the North Pacific in boreal winter i.e., the ‘subarctic
153 mode’ identified by Nakamura et al., (1997). The subarctic mode is associated with SST
154 variability at decadal timescales, caused by variability in the Kuroshio and Oyashio currents, and
155 is not influenced by variability in the tropical Pacific (i.e., variability related to ENSO).
156 Furthermore, the subarctic SST mode is not related to the Pacific Decadal Oscillation (PDO)
157 (index updated from Mantua et al., 1997; Zhang et al., 1997).

158

159 The positive phase of the subarctic SST mode tends to weaken the Aleutian low and thus the
160 Pacific–North American (PNA) circulation pattern. Garfinkel et al. (2010) found that variability
161 of the Aleutian low modulates the strength of the Arctic vortex in mid-winter, with a similar
162 relationship in late winter (not shown).

163

164 In this study, the subarctic SST index is defined as the January/February mean SST anomaly
165 from the 1979–2011 climatology, in the 40–50°N, 160–200°E region. The subarctic SST index
166 was strongly positive in both 1997 and 2011 (Figure 1f). Figure 3e shows the difference
167 between March temperatures in years when the subarctic SST index is strongly positive as
168 compared with years when the index is strongly negative: The Arctic stratosphere is relatively
169 colder (by approximately 6 K at 50 hPa), while below 500 hPa the Arctic is approximately 2 K
170 warmer. The structure and magnitude of these temperature differences are broadly consistent
171 with the March temperature anomalies observed in 1997 and 2011 (Figures 3a and 3b),
172 suggesting that North Pacific SST variability strongly contributed to variability in the Arctic
173 stratosphere in March 1997 and 2011.

174

175 **4 Discussion**

176 Unusual dynamical conditions were observed in the Arctic stratosphere in March 2011.
177 Tropospheric planetary wave driving was unusually weak, consistent with a strong, stable Arctic
178 vortex in late winter and a relatively late vortex breakup. From a zonal mean perspective, the
179 dynamical conditions observed in 2011 were not unprecedented: February eddy heat flux was
180 weaker and March polar cap temperature was lower in 1997 than in 2011.

181

182 Recent cooling of the Arctic lower stratosphere has been reported by e.g., Randel et al. (2009)
183 and Kennedy et al. (2010). In the NCEP–2 reanalysis in March, polar cap temperature at 50 hPa
184 decreased 1.6 ± 1.3 K year $^{-1}$ during the 1979–2011 period. During this period, cooling of the
185 Arctic lower stratosphere can be largely attributed to increased radiative forcing by greenhouse
186 gases and to ozone depletion (Shine et al., 2003; Stolarski et al., 2010). However, this modest
187 linear trend in March does not explain the anomalous conditions in 1997 and 2011, when the
188 Arctic lower stratosphere was more than 10 K below the climatological mean.

189

190 Similarly, the phase of the 11–year solar cycle does not account for the anomalous conditions in
191 March 2011. The solar cycle can be characterized by the solar flux at 2800 MHz
192 (ftp://ftp.ngdc.noaa.gov/STP/SOLAR_DATA/SOLAR_RADIO/FLUX/Penticton_Observed/monthly/MONTHLY.OBS); both 1997 and 2011 were within a few years of solar minima. Since the
193 QBO was easterly in 1997 but westerly in 2011, the product of the solar cycle and QBO
194 anomalies had the opposite sign in 1997 as compared with 2011. Though this quantity is well
195 correlated with polar variability (Haigh and Roscoe, 2006), it does not explain the anomalously
196 strong vortex events in both 1997 and 2011.

198

199 ENSO and the QBO do not explain the unusual dynamical conditions in March 2011. While La
200 Niña conditions tend to strengthen the Arctic vortex in mid–winter, the La Niña signal weakens
201 and begins to reverse by March. In Goddard Earth Observing System Chemistry–Climate
202 Model, Version 2 (GEOS V2 CCM) simulations (model formulation as described by Hurwitz et
203 al., 2011), the Arctic lower stratosphere is cooler in March under La Niña and QBO–westerly
204 conditions, as compared with ENSO neutral and QBO–easterly; however, the magnitude of this
205 cooling is an order of magnitude less than observed in March 2011. Furthermore, the structure

206 and magnitude of dynamical anomalies in the Arctic stratosphere were similar in March 1997
207 and March 2011, despite different phases of the QBO.

208
209 Positive SST anomalies in the North Pacific may have contributed to the anomalous conditions
210 in March 2011. Positive SST anomalies in the 40–50°N, 160–200°E region in January and
211 February, such as those observed in 1997 and 2011, are strongly anti-correlated with polar lower
212 stratospheric temperature anomalies in March. Positive SSTs in this region tend to weaken the
213 Aleutian low, leading to a reduced eddy heat flux entering the stratosphere (Garfinkel et al.,
214 2010). The subarctic SST index in January/February and March polar cap temperature at 50 hPa
215 are correlated at the 95% confidence level. However, the relationship between North Pacific
216 SSTs and stratospheric variability is non-linear: While multiple linear regressions to either
217 February eddy heat flux or March polar cap temperature show that the subarctic SST mode is,
218 statistically, the dominant cause of dynamical variability, these linear regressions do not capture
219 the extreme values seen in e.g., 1997 and 2011. A planned modelling study will, by comparing
220 time-slice simulations of the positive and negative extremes of the subarctic SST mode, isolate
221 the impact of North Pacific SSTs on Arctic dynamics and ozone in March.

222

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227

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330

330 **Figure Captions**

331 Figure 1. Histograms of total ozone and dynamical conditions during the 1979–2011 period: (a)
332 March total ozone averaged between 60–80°N [DU]; (b) March Arctic polar cap temperature at
333 50 hPa [K]; (c) Date of the Arctic vortex breakup at 450 K based on the NCEP–2 (black),
334 NCEP–1 (light gray) and CPC (dark gray) reanalyses, binned into 10–day intervals; (d) January–
335 February–March SST anomaly in the Niño 3.4 region [K]; (e) March zonal winds in the
336 equatorial region at 50 hPa [m s^{-1}]; (f) January/February SST anomaly in the 40–50°N, 160–
337 200°E region [K]. Red (blue) outlines indicate the location of 2011 (1997) conditions. Y–axis
338 values indicate the mid–point of each histogram bin.

339

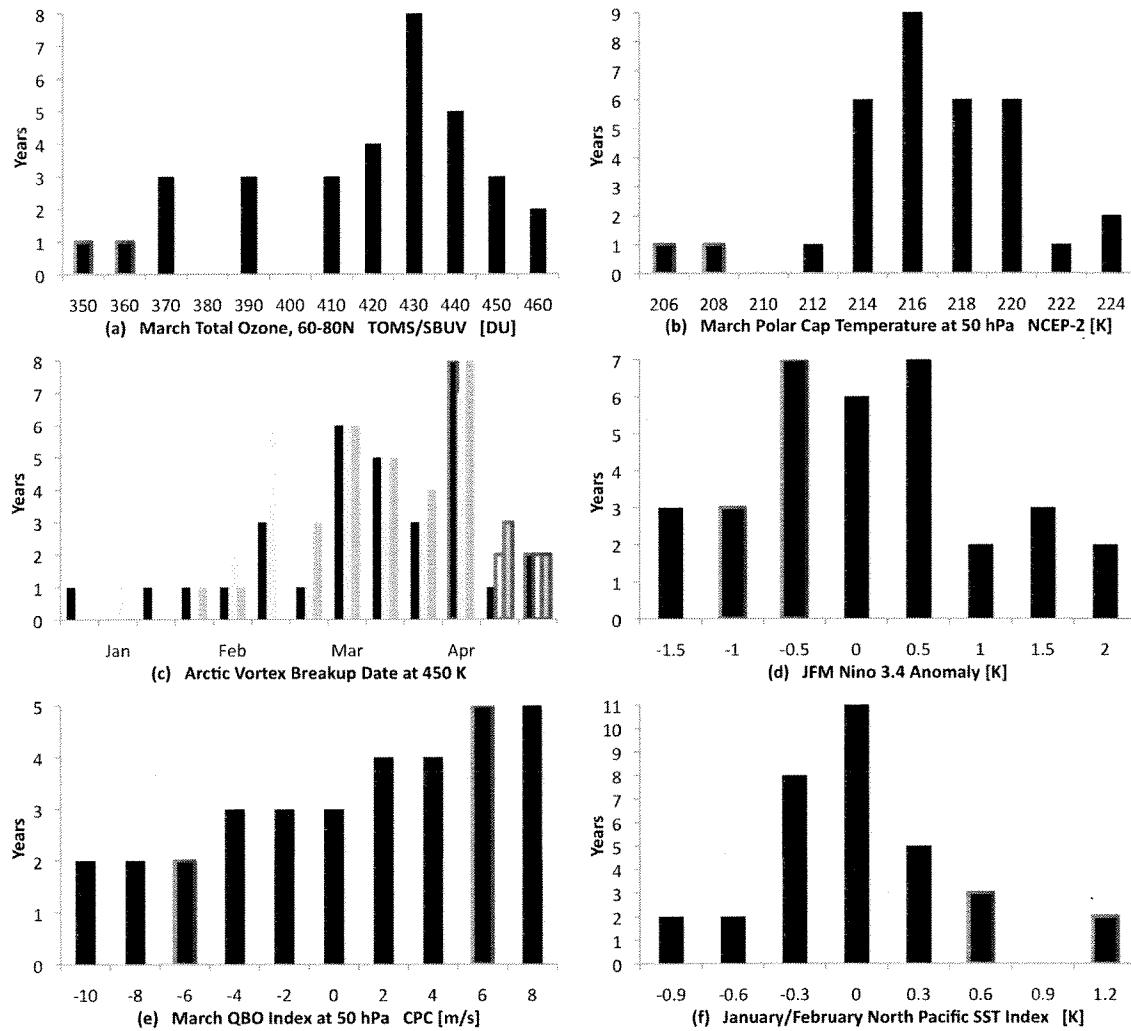
340 Figure 2. Meridional eddy heat flux at 40–80°N, 100 hPa [K m s^{-1}] in February as a function of
341 Arctic polar cap temperature at 50 hPa [K] in March. Eddy heat flux and temperature values are
342 denoted by year number (e.g., “11” denotes 2011).

343

344 Figure 3. March temperature differences [K] in the NCEP–2 reanalysis: (a) 2011 from the
345 1979–2011 climatological mean; (b) 1997 from the climatological mean; (c) composite of La
346 Niña events from the climatological mean; (d) QBO–westerly as compared with QBO–easterly
347 years. (e) March temperature differences for years when SSTs in the 40–50°N, 160–200°E
348 region are more (less) than one standard deviation greater (less than) the climatological mean. In
349 (c), (d) and (e) black Xs denote differences significant at the 95% confidence level. Zero
350 difference contours are shown in white.

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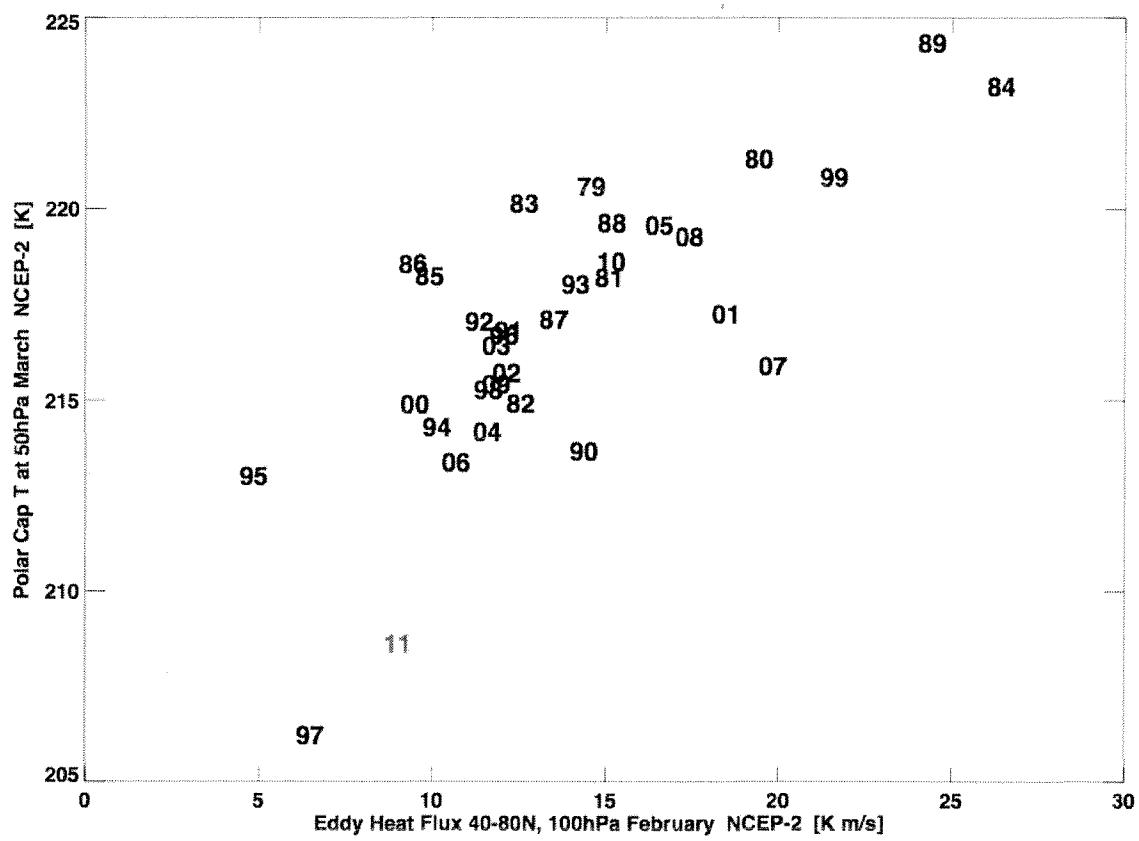
351 **Figures**



352

353 Figure 1

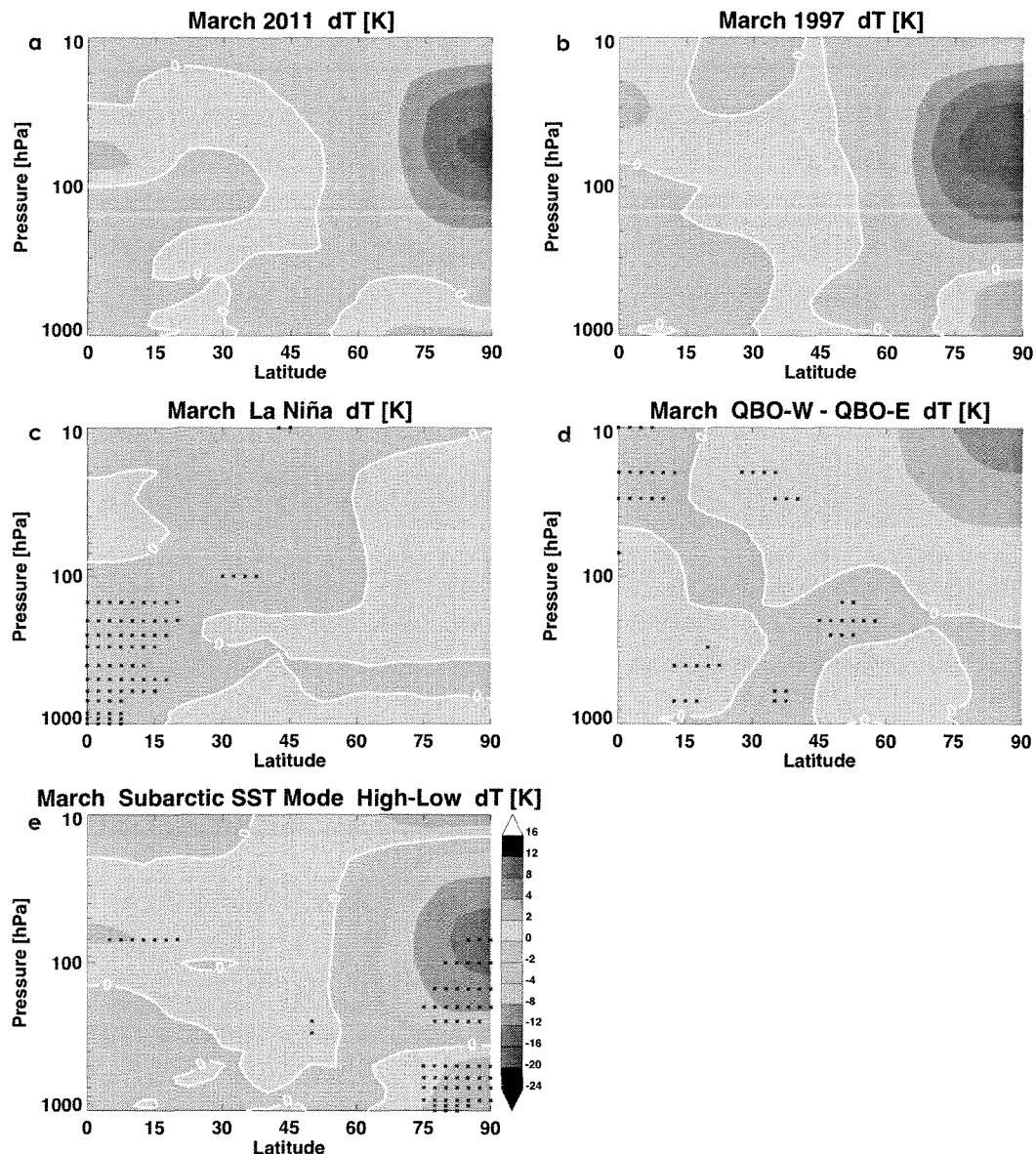
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355 Figure 2

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357 Figure 3